



Initiation Plan / GEF PPG

Empowered lives.
Resilient nations.

Project Title: Resilience for Peace & Stability, Food and Water Security Innovation Grant Program

Country: Global

Programme Outcome:

Expected UNDP Strategic Plan Outcome(s)/Indicator(s)

Outcome 1: ADVANCE POVERTY ERADICATION IN ALL ITS FORMS AND DIMENSIONS

Output 1.4.1: Solutions scaled up for sustainable management of natural resources, including sustainable commodities and green and inclusive value chains

Indicator 1.4.1: Number of micro, small and medium-sized enterprises utilizing supplier development platforms for inclusive and sustainable value chains

Gender Marker rating: GEN 2

SESP Pre-Screening Categorization: Moderate

ATLAS Award ID: 00131398	Total budget: US\$50,000
ATLAS Project/Output ID: 00124467	Allocated resources: • GEF (LDCF) US\$50,000
PIMS number: 6467	
Management Arrangement: DIM	

AGREED BY

09 Dec 2020

Pradeep Kurukulasuriya
Director, Nature Climate and Energy
Executive Coordinator, Environmental
Finance
BPPS/UNDP

Signature

Date

TABLE OF CONTENTS

I. Brief Description of the Initiation Plan/GEF PPG.....	3
II. Stakeholder Engagement, public disclosure and other requirements.....	4
III. GEF PPG Activities	5
Component A: Preparatory Technical Studies & Reviews	5
Component B: Formulation of the UNDP-GEF Project Document, CEO Endorsement Request, and Mandatory and Project Specific Annexes	9
Component C: Validation Workshop and Report	12
IV. Total Budget and Work Plan for GEF PPG	12
V. GEF PPG Activities timeframe and budget	14
VI. Mandatory Annexes	15
Annex 1: GEF CEO PIF/PPG Approval Letter	15
Annex 2: Draft Terms of Reference (TORs) of Consultants Financed by the Project Preparatory Grant (GEF PPG)	16

I. BRIEF DESCRIPTION OF THE INITIATION PLAN/GEF PPG

Objective & Final Outputs

The objective of the GEF PPG is to develop the project concept into a full project: Resilience for Peace & Stability, Food and Water Security Innovation Grant Program. As described in the project concept (PIF/child project concept note), this project aims to study, invest in and scale-up early stage innovations that hold the greatest promise of delivering resilience outcomes that promote peace & stability in fragile and conflict-prone regions with high vulnerability to climate change in the least developed countries.

The project will firstly assess potential innovations and investments for enterprise-based models to strengthen resilience in fragile and conflict-prone regions with high vulnerability to climate change and identify key thematic areas of investment and financing for enterprise-development for adaptation in the context of conflict-prone and fragile regions with high vulnerability to climate change. With a better understanding of the investment landscape, the project will then provide acceleration grant investments to local actors with innovative enterprise-based solutions to deliver resilience outcomes that promote peace & stability in conflict-prone and fragile regions with high vulnerability to climate change (10-20, \$60K/grant, \$120k maximum per grantee). To sure the project grantees receive sufficient support and capacity building, the implementing partner and UNDP will joint force to provide customized technical training, business development and investment brokering & matchmaking to identify post-project scale-up capital. Lastly, project will develop lessons learned documents, guidance and toolkits on effective and efficient adaptation solutions in fragile regions with high vulnerability to climate change and provide M&E for all grantees.

The following information is to be consulted as background for the GEF PPG phase:

- PIF cleared for WP inclusion or GEF Council approved PIF (PFD and child project concept note for projects that are part of a program)
- SESP pre-screening (of PIF)
- Comments from GEF Secretariat, Council, STAP
- [Annotated UNDP-GEF Project Document Template](#) and associated guidance included therein
- [UNDP policies and procedures](#)
- UNDP-GEF guidance notes on GEF project development (which will be provided by the RTA) and
- GEF policies, in particular GEF polices on: Project Cancellation; Fee Policy for GEF Partner Agencies; Project and Program Cycle; and Minimum Fiduciary Standards for GEF Partner Agency (in particular sections dealing with the required separation of oversight and execution support services). All GEF policies are available [here](#).

The final outputs of the GEF PPG are:

1. UNDP-GEF Project Document (ProDoc).
2. Mandatory annexes to the ProDoc listed in the [Annotated UNDP-GEF Project Document Template](#).
3. [GEF CEO Endorsement Request](#) and all mandatory annexes; and

Any additional studies and other reports produced under the GEF PPG and not included in Annex to the project document will be submitted to UNDP and saved for future reference.

Key Dates for the GEF PPG

Milestone	Date	Notes
Internal submission date for UNDP-GEF review and clearance	6 th August 2021	6 months for MSPs.
First GEF Submission Deadline for CEO Endorsement	6 th October 2021	First submission must be within 8 months of PIF approval for MSPs. Failure to submit a ProDoc and CEO ER to the GEF Sec by this date will lead to the automatic cancellation of the project by the GEF Sec.
CEO Endorsement Deadline after which the project will be cancelled if not endorsed	6 th February 2022	Endorsement must be within 12 months of PIF approval for MSPs. If the project has not been CEO endorsed by this date, then it will be automatically cancelled by the GEF Sec.

Management Arrangements

The UNDP global will lead the project development process and manage the GEF PPG budget in full consultation with the BPPS/GEF Technical Adviser and RBAP. The GEF PPG Atlas budget is presented in *Section IV: Total Budget and Work Plan*.

As appropriate, a Working Group will guide the GEF PPG team, and review and endorse the GEF PPG deliverables. The Working Group is responsible for ensuring that the deliverables outlined in this GEF PPG are completed on time and in line with UNDP and GEF requirements. Global Resilience Partnership (GRP) will chair the Working Group (if assessed and approved as the project IP). Working Group members will include: Country Offices (once countries are identified), UNDP GEF RTA and UNDP GEF Specialist (safeguard, gender).

The GEF PPG team will be composed of the consultancies described in the draft Terms of Reference (TORs) in Annex 2.

II. STAKEHOLDER ENGAGEMENT, PUBLIC DISCLOSURE AND OTHER REQUIREMENTS

To ensure strong country ownership, and in line with the stakeholder engagement requirements outlined in UNDP's [Social and Environmental Standards \(SES\)](#), the [SES Guidance Note of Stakeholder Engagement](#), the GEF's [Guidelines on the Implementation of the Policy on Stakeholder Engagement and the GEF Policy on Gender Equality](#), the development of the project to be undertaken during this GEF PPG phase will be done in full consultation and close engagement with government, CSO and other relevant stakeholders – in particular those who will benefit from and be directly involved in the implementation of the project (i.e. direct project beneficiaries) and those who may be impacted (positively or negatively) by the project. Stakeholder Engagement and analysis must be conducted in an inclusive and gender-responsive manner, so that the rights of women and men and the different structural barriers, knowledge, needs, roles and interests of women and men are recognized and addressed.

Careful and complete documentation of stakeholder engagement is vital. Detailed evidence of all consultations will be prepared and submitted to UNDP. A list of the consulted stakeholders and details of the associated meetings will be included in the Stakeholder Engagement Plan (see section B-d below).

If the fully designed project has an overall Social and Environment Screening Procedure (SESP) categorization of moderate or high, then the following disclosure requirements apply:

1. A final validation workshop report will be prepared summarizing the outcomes of the validation workshop and other consultations undertaken during the PPG phase. This report will become a key reference document should an environmental and social complaint/grievance be filed during project implementation. This is mandatory for high risk projects and recommended for moderate risk projects.
2. The UNDP-GEF project document, SESP and related draft management plans, and other relevant information/documents, will be made available to the public on the UNDP Country Office website or open.undp.org. It is recommended to make these documents available for 30 days in advance of the LPAC meeting for moderate risk projects, and 120 days in advance for high risk projects.

See the [SES Supplemental Guidance on Disclosure](#) for more information.

III. GEF PPG ACTIVITIES

Component A: Preparatory Technical Studies & Reviews

The following technical studies and reviews will be conducted.

a. Desktop and field-based studies and data collection

This research should produce the background information required to prepare the ProDoc (including its Annexes) and CEO Endorsement Request, including but not limited to:

- Development challenge and strategy (including threats, problems and barrier assessment);
- Problem and solution trees, assumptions and risks, developed in consultation with project stakeholders, for a robust Theory of Change, Results Framework and solid M&E plan;
- Review of relevant past and ongoing projects for lessons, including [project evaluations](#); and
- Any other analyses required to address all comments on the PIF received from GEF Secretariat, GEF Council members and STAP

b. Gender Analysis

A gender analysis will be prepared to fully consider the different needs, roles, benefits, impacts, risks, differential access to and control over resources of women and men (including considerations of intersecting categories of identity such as age, social status, ethnicity, marital status, etc.) and to identify appropriate measures to address these and promote gender equality and women's empowerment. See guidance available [here](#).

c. Social and Environmental Standards: Screening and Assessments

The social and environmental safeguards pre-screening (pre-SESP) prepared during the PIF design phase has initially determined the overall risk categorization of this project as Moderate and highlighted potential safeguard risks to be further assessed during the PPG phase.

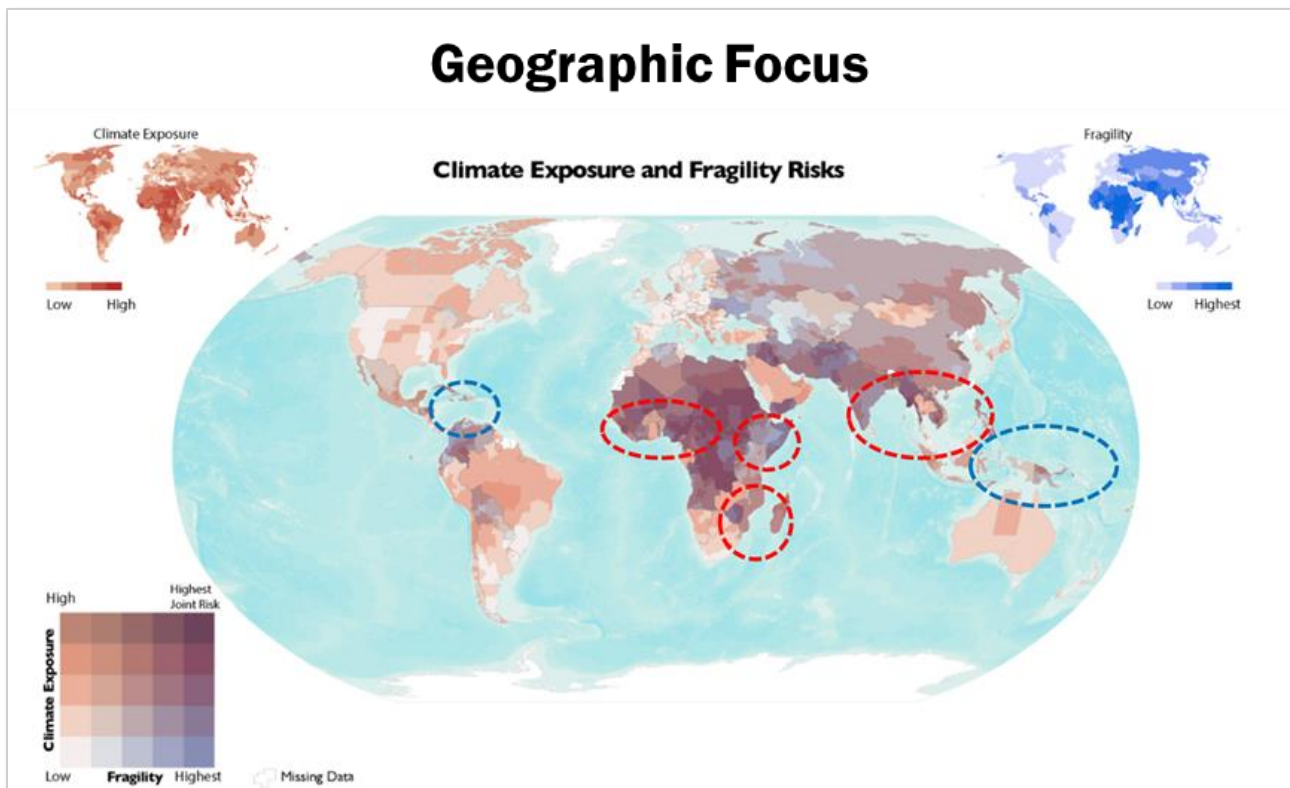
The required targeted assessment(s) of the risks related to Indigenous People (depends on project site), and potential local conflict and tension will be undertaken. The assessment will identify ways to avoid negative environmental and social impacts where possible and if risk avoidance is not possible, then mitigation and management measures must be identified.

d. Identification of project sites

Based on the above reviews, and through consultation with stakeholders, the targeted project sites will be identified. This should include providing geographic coordinates, maps and shapefiles for inclusion in the ProDoc.

From a global perspective, the highest co-occurrence of fragility and conflict and climate risks are concentrated in sub-Saharan Africa, with the remaining areas dotted across the Middle East and North Africa, South and Southeast Asia, and a few parts of South America (see Figure 1).

Figure 1. Global Fragility and Climate Change Vulnerability hotspots¹



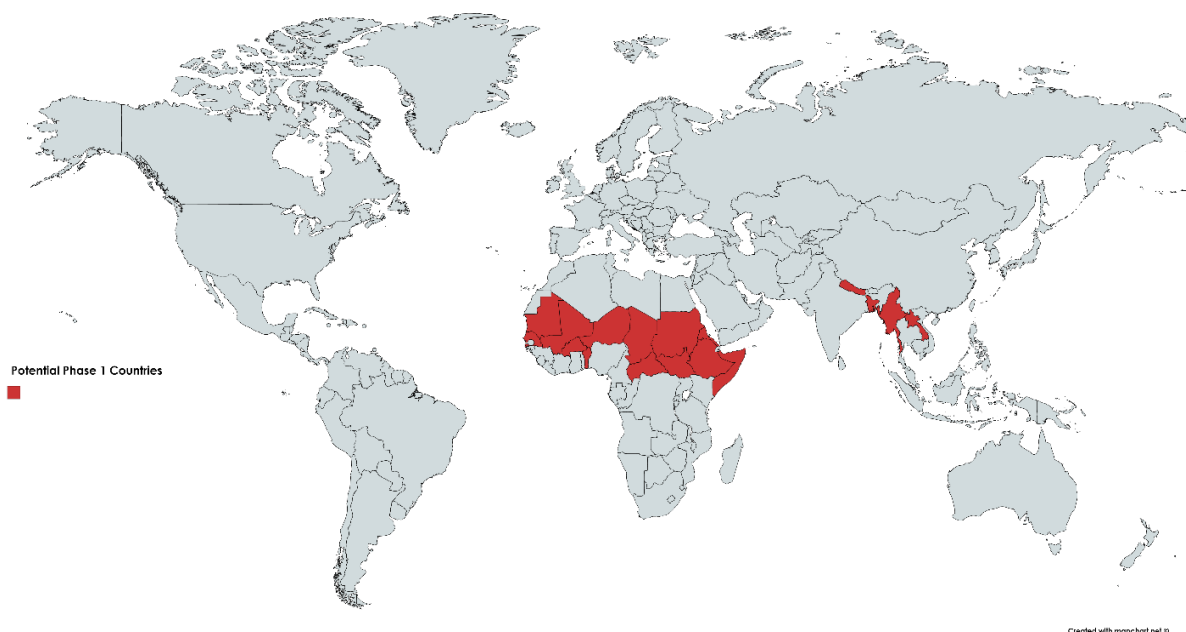
Potential Targeted Countries	
Africa - Sahel	<ul style="list-style-type: none"> • Chad • Mali

¹ New USAID Report on the Double Burden of Climate Exposure and State Fragility, Joshua Busby, Ashley Moran, and Clionadh Raleigh, 2018

	<ul style="list-style-type: none"> • Niger • Burkina Faso • Liberia • Guinea • Gambia • Togo • Sierra Leone • Senegal
Africa – Horn	<ul style="list-style-type: none"> • Ethiopia • Eritrea • Djibouti • Somalia • Sudan • South Sudan
Africa – Central	<ul style="list-style-type: none"> • Democratic Republic of Congo • Rwanda • Uganda • Burundi
Africa – Southern	<ul style="list-style-type: none"> • Tanzania • Mozambique • Madagascar • Malawi • Zambia • Zimbabwe
Asia - South	<ul style="list-style-type: none"> • Bangladesh • Bhutan • Nepal
Asia – South East	<ul style="list-style-type: none"> • Cambodia • Laos • Myanmar • Timor-Leste
South Pacific – SIDS	<ul style="list-style-type: none"> • Kiribati • Solomon Islands • Tuvalu • Vanuatu

These fragile and conflict-prone states also have high vulnerability to climate change, they have chronic, high exposure to multiple climate hazards, facing some combination of floods, wildfires, chronic aridity, rainfall anomalies, cyclones, and coastal inundation. First-order effects of climate change hazards above often followed by second-order effects such as resource conflicts, migration and displacement, livelihood insecurity, and volatile food and energy prices. The cross-cutting nature of climate change risks and instability require well-planned long-term climate adaptation strategies.

Within these fragile and conflict-prone regions, this program will identify specific countries to focus its efforts. This will be based on an assessment of where practice resilience-building initiatives can add the most value, and building on GRP & UNDP’s network and on-ground actors. During the PPG phase, the project development team will identify three to four countries to pilot this approach to address fragility and resilience building.



e. Financial planning, co-financing and investment mobilized

Co-financing and investment mobilized – as defined in the GEF Policy and Guidelines on co-financing - will be confirmed, and additional sources identified through a series of consultations with partners to ensure a coherent and sustainable financing package for the project, including post-GEF grant phase to the extent possible. The GEF is seeking high co-financing/investment mobilized to GEF grant ratios with a preference for grants, loans and other public investments over in-kind co-financing. The GEF also expects the implementing partner to significantly support the costs associated with project execution (i.e. PMC).

f. Stakeholder analysis

Building on the initial identification of stakeholders in the PIF, an appropriately-scaled analysis of project stakeholders will be undertaken. This stakeholder analysis will provide the foundation for development of the project’s Stakeholder Engagement Plan and will facilitate prioritization of engagement activities with particular stakeholder groups and individuals. See the [UNDP SES Guidance Note on Stakeholder Engagement](#).

g. Appraise and formulate the most appropriate project implementation and execution modality

The design of the project will comply with the UNDP’s Program and Operations Policy and Procedures (POPP), Financial Regulations, and Programme and Project Management and Quality Standards, and UNDP-GEF guidance (which will be provided by the RTA). A full assessment of the most appropriate project implementation and management arrangements will be carried out in full consultation with the RTA, UNDP Country Office, Resident Representative (or their Deputy), GRP, and the GEF OFF.

UNDP should in general not have a role in project execution as project execution is the primary responsibility of the selected Implementing Partner (see UNDP-GEF Guidance Note for further information). Therefore, an assessment and the selection of the most appropriate Implementing Partner and/or third parties (Responsible Parties) with full capacity to execute the project must be carried out.

Project management arrangements need to be discussed and agreed early in the PPG phase. During the first two months of the PPG phase:

- For all potential Implementing Partners, carry out capacity assessments to assess their capacity to implement the project and assess all related risks. This must include HACT and PCAT assessments.
- Discuss the level of co-financing the Implementing Partner are able to commit to this project. As per GEF Policy, the GEF is seeking high co-financing to GEF grant ratios and expects the implementing Partner to significantly support the costs associated with project execution (i.e. PMC) for this project.
- Based on these assessments, select and confirm the Implementing Partner for the project in consultations with all relevant stakeholders. The selected Implementing Partner must express willingness to serve as the Implementing Partner for the Project and the concerned government must agree to use the selected Implementing Partner for the Project. (Please see [UNDP's Policy on Selecting Implementing Partners](#)).
- If the Implementing Partner does not have full capacity to execute the project, and execution support is likely to be needed, discuss with the UNDP Resident Representative whether the choice of Implementing Partner is the correct choice. If not, select another Implementing Partner, if possible. If that is not an option, explore alternative options for the provision of execution support via Responsible Parties ([see UNDP Policy on Selecting Responsible Parties](#)). Discuss with the Implementing Partner the role of the responsible parties in project execution, and the execution support these parties could provide.
- Consult with the RTA on the latest guidance regarding UNDP providing support services to the Implementing Partner. If the costs for UNDP to provide support services is to be charged to the GEF project budget, the UNDP support services must be approved by the GEF Secretariat before CEO endorsement.

h. Other required studies

- The project development team also need to research on existing investment vehicles that are available in the project site, identify potential local project partners and grantees; and engage stakeholder consultation with these potential partners and grantees.

Component B: Formulation of the UNDP-GEF Project Document, CEO Endorsement Request, and Mandatory and Project Specific Annexes

Based on the technical studies and reviews undertaken under **Component A**, the UNDP-GEF Project Document will be developed (following the annotated UNDP-GEF Project Document available [here](#)), and the GEF CEO Endorsement Request (available [here](#)) will be prepared. See additional guidance notes below.

The GEF PPG Team Leader will be responsible for the consolidation and finalization of all required materials.

a. Stakeholder Engagement Plan:

At a minimum, the Plan must include the following elements:

- Stakeholders who have been and will be engaged (based on stakeholder analysis), including potentially marginalized or disadvantaged groups/individuals (the ‘who’);
- Key stakeholder objectives and interests (the ‘why’);
- Steps and actions to achieve meaningful consultation and inclusive participation, including information dissemination and any special measures required to ensure inclusive participation of marginalized disadvantaged groups/individuals the dissemination of information (the ‘how’);
- Breadth and depth of stakeholder engagement throughout the project cycle, and decisions that need to be made through stakeholder engagement (the ‘what’);
- Timeline for engagement activities and how they will be sequenced, including information disclosure (the ‘when’);
- Indicators of stakeholder engagement and monitoring plan;
- Roles and responsibilities for ensuring effective stakeholder engagement through implementation of the Plan; and
- Resource requirements and associated budget.
- A project-level Grievance Redress Mechanism (GRM) will be described in the Stakeholder Engagement Plan and established in the first year of project implementation. See the [UNDP guidance on GRM](#) and [sample TOR](#).
- A comprehensive Stakeholder Engagement Plan is required.

See the [UNDP SES guidance on Stakeholder Engagement](#), the [standard SEP template](#), and the [GEF guidelines on stakeholder engagement](#).

b. Gender Action Plan and Budget

The gender analysis conducted in **Component A** along with relevant findings from the stakeholder analysis and background studies, will form the basis of a Gender Action Plan to guide gender mainstreaming during project implementation. The Gender Action Plan must include indicators, targets, timeframe, responsible party and budget which are linked to the project’s components/outputs, outcomes and activities. As part of the work of preparing the Gender Action Plan, indicators should be proposed for inclusion in the Results Framework to facilitate the monitoring of the proposed gender mainstreaming actions.

See guidance available [here](#).

c. Social and Environmental Standards: Screening and Management Measures

In line with the assessments conducted during **Component A** (above) and [UNDP’s Social and Environmental Standards \(SES\) policy and all associated SES Guidance Notes](#), the SESP will be updated and all risks identified in the SESP will be reflected in the risk table and risk section of the ProDoc.

Based on the required targeted assessment(s), the relevant stand-alone management plan(s) will be prepared: Indigenous People Plan (depends on project site), and local conflict and tension management plan.

See the [SES Guidance Note on Assessment and Management](#) for further guidance. Please contact UNDP for additional information as needed.

d. GEF and LDCF/SCCF Core Indicators

The CEO endorsement-stage data for the relevant Core Indicators and sub-indicators will be prepared. The completed [Core Indicators worksheet](#)—with both the original PIF-stage data and the CEO endorsement-stage data—will be annexed to the ProDoc.

The Core Indicators will be used in the project’s Results Framework, at the Objective level, where appropriate. See the [GEF policy and guidance](#).

e. Completion of the required official endorsement letters

These letters include the official letters on co-financing guarantee(s) from participating government institutions, bilateral development partners, multilateral development partners, NGOs, private sector, or others who wish to provide cash or in-kind contributions to the project.

A GEF OFP endorsement letter will also be required for any new participating countries to a global or regional program/project that was not included with the PIF/PFD submission.

Updated GEF OFP endorsements letters are also required if the requested GEF grant amount has changed since PIF/PFD approval.

f. Mandatory Annexes

In addition to the documents listed above, the following Annexes should be prepared by the GEF PPG team:

- Project map and Geospatial Coordinates of project sites
- Multi Year Work Plan
- Monitoring Plan
- UNDP Risk Register
- Overview of Technical Consultancies
- GEF 7 Taxonomy
- Initial Project Team Procurement Plan and TORs for key Project Team staff

Upon a request from the UNDP Regional Technical Adviser during the PPG implementation, the PPG team may be required to prepare additional annexes.

g. Project Management Arrangements

Based on the stakeholder analysis and consultations undertaken in **Component A** above, agreement(s) on project management and implementing partner arrangements—including roles, responsibilities and accountabilities of lead and partner Agencies—will be secured early in the project development phase and will be fully detailed in the ProDoc. Standard text from the governance and management arrangement from the GEF-7 template should never be removed.

Component C: Validation Workshop and Report

A validation workshop will be held with relevant stakeholders to present, discuss and validate the project activities, and the final draft of the UNDP-GEF project document if possible. A validation workshop report will be prepared for projects with an overall safeguards risk rating of moderate or high.

IV. TOTAL BUDGET AND WORK PLAN FOR GEF PPG

Please note the following:

Per UNDP policy:

- TORs for key consultants and project personnel should follow UNDP procedures as outlined in the POPP. No “personnel” or project management costs can be charged to the Initiation Plan using GEF PPG resources; such costs should be borne by the requesting agency or co-financing. In all cases, no project “personnel” will give technical advice; international and national consultants will provide technical advice.
- Miscellaneous items should be considered very carefully and explained.

Per GEF Policy, the following expenses are ineligible:

- Costs associated with the work of government staff or regular project/program activities of a GEF Agency or a Project Executing Entity (EA).
- Non-project preparation costs including: project start-up costs; demonstration and pilot projects; implementation of large-scale enabling activities, including detailed country-wide inventories and country studies, training activities other than where they are directly related to project and/or country preparation and major research.
- Capital goods other than those directly required for project preparation, such as computers and engineering equipment.
- Goods and services that can be procured through funding channels other than the GEF.

Atlas Award ID:	00131398
Atlas Project/Output ID:	00124467
Award Title:	Innovation Grant Program PPG
Project ID	10430
Business Unit:	H70
Project Title:	Resilience for Peace & Stability, Food and Water Security Innovation Grant Program
PIMS number:	6467
Implementing Partner:	Global Resilience Partnership (to be assessed)

GEF Outcome/Atlas Activity	Responsible Party	Fund ID	Donor Name	Atlas Budgetary Account Code	ATLAS Budget Description	Amount US\$	Budget Notes
Project preparation grant to finalize the UNDP-GEF project document for project - Resilience for Peace & Stability, Food and Water Security Innovation Grant Program	UNDP	62000	GEF TRUSTEE	71200	International Consultants	46,000	A
				72500	Supplies	1,000	B
				74500	Miscellaneous Expenses	1,000	C
				75700	Trainings, workshops	2,000	D
PROJECT TOTAL						50,000	

Budget Note	Items	Total estimated person weeks	Budget US\$	Budget Note
A1	International Consultant	6	\$25,500	PPG lead: \$850 per day, (30 working days)
A2	International Consultant	2	\$8,500	Project development consultant: \$850 per day (10 working days)
A3	International Consultant	4	\$12,000	Gender and Safeguard consultant: \$600 per day (20 working days)
B	Printing materials, video productions, etc		\$1,000	Printing materials for donor, engagement materials such as video production, etc
C	Miscellaneous Expenses		\$1,000	Any other unforeseen PPG expense
D	Trainings, workshops		\$2,000	Virtual workshop or meetings with stakeholders

V. GEF PPG ACTIVITIES TIMEFRAME AND BUDGET

This section is optional

PPG Activity	Month 1	Month 2	Month 3	Month 4	Month 5	Month 6
Component A: Technical studies, etc.						
Component B: Formulation of ProDoc, etc.						
Component C: Validation Workshop						
Delivery of final outputs						

VI. MANDATORY ANNEXES

Annex 1: GEF CEO PIF/PPG Approval Letter



Chief Executive Officer and Chairperson

August 6, 2020

Mr. Pradeep Kurukulasuriya
GEF Executive Coordinator
United Nations Development Programme (UNDP)
One United Nations Plaza
New York, NY 10017

Dear Mr. Kurukulasuriya:

I am pleased to inform you that I have approved the PIF for the medium-sized project concept detailed below. I have also approved your request for project preparation grant.

Decision Sought:	Medium-sized Project (MSP) PIF Approval and Project Preparation Grant Approval
GEFSEC ID:	10430
Agency(ies):	UNDP
Agency ID:	6467
Focal Area:	Climate Change
Project Type:	Medium-Size Project
Country(ies):	Global
Name of Project:	Resilience for Peace & Stability, Food and Water Security Innovation Grant Program
GEF Project Financing:	\$1,000,228
Agency Fee:	\$95,021
PPG Grant:	\$50,000
PPG Agency Fee:	\$4,750
Funding Source:	Least Developed Countries Fund

Agency Fee Commitment:			
Agency	Trust Fund	100% to be committed at 1 st Disbursement (US\$)	Total (US\$)
UNDP	LDCF	95,021	95,021

I am approving this project based on the understanding that the project is in conformity with the GEF focal areas strategies and in line with GEF policies and procedures. Please ensure that your final project document, having fully addressed all Secretariat comments, will be approved within 12 months of CEO approval of the PIF.

Sincerely,

Gustavo Fonseca
Interim Chief Executive Officer and Chairperson

Copy to: GEF Agencies, Trustee

1818 H Street, NW • Washington, DC 20433 • USA
Tel: +1 (202) 473 3202 - Fax: +1 (202) 522 3240
E-mail: gefceo@thegef.org
www.thegef.org

Annex 2: Draft Terms of Reference (TORs) of Consultants Financed by the Project Preparatory Grant (GEF PPG)

If appropriate and helpful, please use this guidance to support the preparation of PPG TORs. The cost per consultancy week and number of weeks provided below are indicative only and should be revised.

Position, Type and Cost	Role, Deliverables and Qualifications
<p>Consultant 1: Innovative Finance consultant (GEF PPG Team Leader)</p> <p>Type: IC</p> <p>Cost per person day: \$850</p> <p>Number of person days needed: 30</p>	<p>Role The project development specialist will lead the PPG team to ensure the final documents for the Resilience for Peace & Stability, Food and Water Security Innovation Grant Program are prepared and submitted within agreed timeline</p> <p>Responsibilities and Deliverables</p> <ol style="list-style-type: none"> 1) <u>Management of the GEF PPG Team</u> <ol style="list-style-type: none"> a. Lead the PPG development, coordinate between different stakeholders to collect required inputs for the proposal development ; b. Ensure that project development is participatory, gender-responsive and based on extensive stakeholder engagements; and c. Verify and ensure that all project components are technically sound and cost effective. 2) <u>Preparatory Technical Studies and Reviews (Component A):</u> With inputs from the national consultants, as detailed in their respective TORs: <ol style="list-style-type: none"> a. Compile baseline/situational analysis for the project. This will include a precise definition of baseline projects, activities, budgets, goals and co-financial links to GEF outcomes; conduct a desktop and network survey of innovative finance mechanisms (revolving funds, micro-credit etc) that are investing in resilience building activities in fragile and conflict-prone regions. b. Interview managers of these finance mechanisms to get an understanding of the constraints and opportunities for scaling these mechanisms and/or directing their investments towards resilience building private sector resilience c. Create and inventory of these finance mechanisms and develop a report which describe an initial analysis of the prevalence and scope of such funds as well as constraints and opportunities for scaling size and effectiveness of these financial mechanisms d. Lead and oversee the stakeholder analysis and consultations; invite managers of such financial mechanisms to a scoping and co-design meeting. e. Oversee the identification of the project sites, with documentation of selection criteria and making sure that geo-referenced data and maps are clearly presented both for targeted protected areas and broader landscapes, as applicable; f. Ensure completion of any additional studies that are determined to be needed for the preparation of the ProDoc and all other final outputs. 3) <u>Formulation of the ProDoc, CEO Endorsement Request and Mandatory and Project Specific Annexes (Component B):</u> based on international best practice: <ol style="list-style-type: none"> a. Develop, present and articulate the project’s theory of change; b. Develop the Results Framework in line with UNDP-GEF policy; c. Develop a detailed Monitoring and Evaluation Plan and Budget; d. Oversee and ensure the preparation of a comprehensive Stakeholder Engagement Plan;

	<p>e. Oversee and ensure the preparation of a Gender Action Plan and Budget;</p> <p>f. Oversee and ensure the updating of the SESP based on assessments undertaken during Component A, and ensure the development of required environmental and/or social management plan(s) as required;</p> <p>g. Secure all co-financing letters;</p> <p>h. Produce the draft UNDP-GEF ProDoc, GEF CEO Endorsement, and all mandatory and project specific Annexes, using the templates.²</p> <p>4) <u>Validation Workshop (Component C):</u></p> <p>a. Lead the validation workshop to present, discuss and validate the final draft ProDoc and mandatory and project specific annexes, with a special focus on the SESP and any management plans;</p> <p>b. Oversee all necessary revisions that arise during the workshop; and</p> <p>c. Ensure completion of Validation Workshop Report.</p> <p>5) <u>Final Deliverables:</u></p> <p>a. Consolidation of all technical and consultation inputs and comments including from national stakeholders, UNDP, GEF Secretariat, STAP and GEF Council, into a well written and concise UNDP ProDoc with all required sections and Annexes, in line with the standard UNDP-GEF ProDoc template and annotated guidance;</p> <p>b. Completion of the GEF CEO Endorsement Request;</p> <p>c. All documentation from GEF PPG (including technical reports, meeting minutes, etc.); and</p> <p>d. Validation Workshop Report.</p> <p>Qualifications</p> <ul style="list-style-type: none"> ▪ Master’s degree or higher in the fields of environment or finance (climate change mitigation/adaptation, and/or climate finance), strategic management, business development, finance; ▪ Minimum 5 years of demonstrable experience in the technical area of climate change adaptation and in preparing high quality project documents, particularly for UNDP and GEF projects; ▪ Excellent written and oral communication skills in English; ▪ Demonstrated understanding of the GEF rationale and procedures, and demonstrated experience in formulation of GEF-funded project proposals, using the logical framework and the results-based management approaches; ▪ Knowledge of and experience in conflict prone regions ▪ Experience working in building resilience in fragility and conflict prone regions on related initiatives highly desired.
<p>Consultant 2: Project development specialist</p> <p>Type: IC</p> <p>Cost per person day: \$850</p>	<p>1) <u>Review and Strengthen ProDoc, CEO Endorsement Request and Mandatory and Project Specific Annexes (Component B):</u> With inputs from the national consultants, as detailed in their respective TORs, and based on international best practice:</p> <p>a. Review and strengthen UNDP-GEF ProDoc, GEF CEO Endorsement drafted by PPG lead</p> <p>2) <u>Final Deliverables:</u></p> <p>a. Ensure UNDP ProDoc with all required sections and Annexes, in line with the standard UNDP-GEF ProDoc template and annotated guidance;</p> <p>b. Ensure the GEF CEO Endorsement Request is in-line with GEF policy.</p>

² Please verify with the UNDP-GEF team that the correct templates are being used.

<p>Number of person days needed: 10</p>	<p>Qualifications</p> <ol style="list-style-type: none"> 3) Master’s degree or higher in the fields of environment or finance (climate change mitigation/adaptation, and/or climate finance), strategic management, business development or finance; 4) Minimum 5 years of demonstrable experience in the technical area of climate finance and in preparing high quality project documents, particularly for UNDP and GEF projects; 5) Excellent written and oral communication skills in English; 6) Demonstrated understanding of the GEF rationale and procedures, and demonstrated experience in formulation of GEF-funded project proposals, using the logical framework and the results-based management approaches; 7) Knowledge of and experience in conflict prone regions 8) Experience working in building resilience in fragility and conflict prone regions on related initiatives highly desired.
<p>Consultant 3: Gender and Safeguard Specialist</p> <p>Type: NC</p> <p>Cost per person-day: \$600</p> <p>Number of person-days needed: 20</p>	<p>Role</p> <p>The Gender and Safeguards Specialist(s) will develop mandatory project Annexes related to application of social and environmental safeguards and support adherence of project development to UNDP’s SESP and specific requirements, as appropriate. The consultant will be a gender and social inclusion expert.</p> <p>Deliverables</p> <ol style="list-style-type: none"> 1) <u>Preparatory Technical Studies and Reviews (Component A): Prepare inputs and support the required analyses/studies, as agreed with the GEF PPG Team Leader, including:</u> <ol style="list-style-type: none"> a. Review the SESP pre-screening of the PIF; complete the UNDP Social and Environmental Screening Procedure (SESP), and support the detailed assessment of all project risks including consultations with local stakeholders; b. Assess presence of Indigenous Peoples/ethnic minorities within project landscapes and their interests. If present, assess potential impacts of the project on rights and interests, lands, territories, resources, and traditional livelihoods and determine when FPIC applies in accordance with national contexts and preferences. Carry out consultations with communities at demonstration landscape to assess level of understanding and capacity to give consent, and identify community preferences for FPIC process. Based on these assessments, and if relevant, integrate relevant matters as needed including FPIC into project design and into the comprehensive Stakeholder Engagement Plan; c. Prepare the gender analysis and action plan and work closely with the GEF PPG Team Leader to ensure its findings are meaningfully integrated into the project’s strategy, theory of change and results framework; d. Assess conflict history and potential tension in the project sites and provide risk mitigation plan e. Support the completion of any additional studies that are determined to be needed for the preparation of the ProDoc and all other final outputs as guided by the PPG Team Leader. 2) <u>Formulation of the ProDoc, CEO Endorsement Request and Mandatory Annexes as well as project specific annexes (Component B):</u> <ol style="list-style-type: none"> a. Complete the SESP, based on assessments undertaken during Component A and detailed development of project interventions, and identify management measures to mitigate risks to be incorporated into the ProDoc;

	<p>b. Prepare the Gender Mainstreaming Plan, with appropriate budget and identification of appropriate project interventions to ensure gender mainstreaming including at project demonstration sites;</p> <p>c. Provide inputs for the development of the Stakeholder Engagement Plan to ensure it is Socially Inclusive and Gender Responsive;</p> <p>d. Prepare the Gender Action Plan and Budget;</p> <p>e. Support the agreements on project management arrangements and ensure that gender and safeguards are adequately incorporated into these arrangements.</p> <p>3) <u>Validation Workshop (Component C):</u></p> <p>a. Contribute to the validation workshop; and</p> <p>b. Support all necessary revisions that arise during the workshop, as appropriate.</p> <p>4) <u>Final Deliverables:</u></p> <p>a. Finalized Social and Environmental Screening (SESP)</p> <p>b. Gender Analysis and Gender Mainstreaming Plan</p> <p>c. Appropriate inputs to the comprehensive Stakeholder Engagement Plan including on gender-responsive consultation and consultations with local communities and any Indigenous Peoples or Ethnic Minorities present within the demonstration landscape;</p> <p>d. Appropriate inputs to the final UNDP-GEF project document based on guidance from the PPG Team Leader.</p> <p>Qualifications</p> <ul style="list-style-type: none"> ▪ Master's Degree, or equivalent, in Anthropology, Sociology, Applied Social Science, Environmental Science or other related fields. University degree in Anthropology, Sociology, Applied Social Science, Environmental Science or other related fields combined with specialized experience in similar organization/s, may be considered in lieu of a Master's degree; ▪ Minimum 5 years of demonstrable experience in the technical area of gender mainstreaming, community development, and social and environmental safeguards risk assessment and mitigation; ▪ Demonstrated understanding of the links between sustainable development, social and gender issues; ▪ Demonstrated experience working on policy and programmatic issues with national and local governments and civil society organizations including community organizations; ▪ Demonstrated experience in carrying out consultations with Indigenous Peoples, FPIC, protection of cultural heritage, and/or community engagement is highly desired; ▪ Experience with project development and results-based management methodologies is highly desired; ▪ Excellent analytical, writing, advocacy, presentation, and communications skills are required; and ▪ Excellent written and oral communication skills in English and desired local language.
--	---